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 File 625:American Banker Publications 1981-2006/Jun 16  
      (c) 2006 American Banker  
 File 268:Banking Info Source 1981-2006/Jun w2  
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 File 626:Bond Buyer Full Text 1981-2006/Jun 16  
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 File 267:Finance & Banking Newsletters 2006/Jun 14  
      (c) 2006 Dialog  
 File 139:EconLit 1969-2006/May  
      (c) 2006 American Economic Association

| Set | Items  | Description   |
|-----|--------|---|
| S1  | 164105 | (TRADING OR TRADE OR FINANCIAL OR BANK OR BANKING OR TRADE-<br>R? ? OR TRANSACTION)(3N)(NETWORK? OR SYSTEM? ? OR SERVER? ? OR<br>TERMINAL OR WORKSTATION OR COMPUTERI? OR TRANSACTION?) |
| S2  | 14673  | (RATE? ? OR PRICE OR PRICING OR BID)(6N)(QUOTE? OR QUOTATI-<br>ON OR PROPOSAL? ? OR RECOMMEND? OR SERVER? OR SUGGEST?)  |
| S3  | 720778 | (GENERAT? OR OUTPUT? OR PRODUCE? OR PRODUCING OR CREAT? OR<br>BUILD? OR DEVELOP? OR SYNTHES? OR RETURN?)  |
| S4  | 103961 | INDEX OR MANUAL()RATE()(QUOTE? OR QUOTATION) OR (PRICE OR -<br>RATE OR QUOT?)(3N)(SERVER? OR LIST? OR BOOK OR HISTORY)  |
| S5  | 4551   | (SET OR GROUP OR COLLECTION OR LIST?)(3N)(CONDITIONS OR R-<br>ULES OR SITUATIONS)   |
| S6  | 342405 | TIME OR LIMIT   |
| S7  | 266814 | BROKER? ? OR TRADER? ? OR SALES OR SALES()PERSON OR SALESM-<br>?N OR SALESWOM?N   |
| S8  | 241    | S1(30N)(S2 OR S2(6N)S3)(30N)(S4:S7)   |
| S9  | 9      | S8(30N)(S2(6N)S3)   |
| S10 | 5      | S9 NOT PY>1995  |
| S11 | 5      | RD (unique items)   |
| S12 | 81     | S8 NOT PY>1995  |
| S13 | 80     | RD (unique items)   |
| S14 | 75     | S13 NOT S11   |
| ?   |        |   |

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File 348:EUROPEAN PATENTS 1978-2006/ 200623  
(c) 2006 European Patent Office  
File 349:PCT FULLTEXT 1979-2006/UB=20060615,UT=20060608  
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| Set | Items   | Description  |
|-----|---------|--|
| S1  | 45147   | (TRADING OR TRADE OR FINANCIAL OR BANK OR BANKING OR TRADE-<br>R? ? OR TRANSACTION)(3N)(NETWORK? OR SYSTEM? ? OR SERVER? ? OR<br>TERMINAL OR WORKSTATION OR COMPUTER? OR TRANSACTION?) |
| S2  | 10570   | (RATE? ? OR PRICE OR PRICING OR BID)(6N)(QUOTE? OR QUOTATI-<br>ON OR PROPOSAL? ? OR RECOMMEND? OR SERVER? OR SUGGEST?)   |
| S3  | 1782661 | (GENERAT? OR OUTPUT? OR PRODUCE? OR PRODUCING OR CREAT? OR<br>BUILD? OR DEVELOP? OR SYNTHES? OR RETURN?)   |
| S4  | 202285  | INDEX OR MANUAL()RATE() (QUOTE? OR QUOTATION) OR (PRICE OR -<br>RATE OR QUOT?)(3N)(SERVER? OR LIST? OR BOOK OR HISTORY)  |
| S5  | 54608   | (SET OR GROUP OR COLLECTION OR LIST?)(3N)(CONDITIONS OR R-<br>ULES OR SITUATIONS)  |
| S6  | 1393423 | TIME OR LIMIT  |
| S7  | 30102   | BROKER? ? OR TRADER? ? OR SALES OR SALES()PERSON OR SALESM-<br>?N OR SALESWOM?N  |
| S8  | 522     | S1(30N)(S2 OR S2(6N)S3)(30N)(S4:S7)  |
| S9  | 91      | S8(30N)(S2(6N)S3)  |
| S10 | 1       | S9 NOT PY>1995   |

? t10/3,k/all

10/3,k/1 (Item 1 from file: 349)  
DIALOG(R)File 349:PCT FULLTEXT  
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00241197  
CREDIT MANAGEMENT FOR ELECTRONIC BROKERAGE SYSTEM  
GESTION DE CREDITS POUR SYSTEME DE COURTAGE ELECTRONIQUE

Patent Applicant/Assignee:

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HARTHEIMER Richard,

Patent and Priority Information (Country, Number, Date):

Patent: WO 9315467 A1 19930805  
Application: WO 93US916 19930202 (PCT/WO US9300916)  
Priority Application: US 92830408 19920203

Designated States:

(Protection type is "patent" unless otherwise stated - for applications  
prior to 2004)

AU CA JP US AT BE CH DE DK ES FR GB GR IE IT LU MC NL PT SE

Publication Language: English

Fulltext Word Count: 9317

Fulltext Availability:

Claims

Claim

1. A trading system for trading of financial instruments between  
traders  
trading at a plurality of trading floors, said system comprising  
a communication network (Fig 1) for transmitting electronic messages,  
a plurality of trader terminals...

...and

communicating selected bid and offer price information (Fig 2,

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File 16:Gale Group PROMT(R) 1990-2006/Jun 15  
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File 148:Gale Group Trade & Industry DB 1976-2006/Jun 16  
(c)2006 The Gale Group  
File 160:Gale Group PROMT(R) 1972-1989  
(c) 1999 The Gale Group  
File 275:Gale Group Computer DB(TM) 1983-2006/Jun 15  
(c) 2006 The Gale Group  
File 621:Gale Group New Prod.Annou.(R) 1985-2006/Jun 16  
(c) 2006 The Gale Group

| Set | Items    | Description   |
|-----|----------|---|
| S1  | 1787346  | (TRADING OR TRADE OR FINANCIAL OR BANK OR BANKING OR TRADE-<br>R? ? OR TRANSACTION)(3N)(NETWORK? OR SYSTEM? ? OR SERVER? ? OR<br>TERMINAL OR WORKSTATION OR COMPUTERI? OR TRANSACTION?) |
| S2  | 330185   | (RATE? ? OR PRICE OR PRICING OR BID)(6N)(QUOTE? OR QUOTATI-<br>ON OR PROPOSAL? ? OR RECOMMEND? OR SERVER? OR SUGGEST?)  |
| S3  | 18421095 | (GENERAT? OR OUTPUT? OR PRODUCE? OR PRODUCING OR CREAT? OR<br>BUILD? OR DEVELOP? OR SYNTHES? OR RETURN?)  |
| S4  | 952611   | INDEX OR MANUAL()RATE()(QUOTE? OR QUOTATION) OR (PRICE OR -<br>RATE OR QUOT?)(3N)(SERVER? OR LIST? OR BOOK OR HISTORY)  |
| S5  | 95938    | (SET OR GROUP OR COLLECTION OR LIST?)(3N)(CONDITIONS OR R-<br>ULES OR SITUATIONS)   |
| S6  | 9159319  | TIME OR LIMIT   |
| S7  | 8608677  | BROKER? ? OR TRADER? ? OR SALES OR SALES()PERSON OR SALESM-<br>?N OR SALESWOM?N   |
| S8  | 3184     | S1(30N)(S2 OR S2(6N)S3)(30N)(S4:S7)   |
| S9  | 222      | S8(30N)(S2(6N)S3)   |
| S10 | 43       | S9 NOT PY>1995  |
| S11 | 42       | RD (unique items)   |

? t11/3,k/all

11/3,k/1 (Item 1 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
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01080946 97-30340  
I've got a line on a secret  
Guenette, David R  
CD-ROM Professional v8n7 PP: 12 Jul 1995  
ISSN: 1049-0833 JRNL CODE: LDP  
WORD COUNT: 713

...TEXT: include a "click-n-go" online connection in their titles, but also  
supplies, for a price, the online server and transaction support  
services that create the online end of the business.

#### THE BIG CROSS-FERTILIZATION

It looks like the connections...

11/3,k/2 (Item 2 from file: 15)  
DIALOG(R)File 15:ABI/Inform(R)  
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01069312 97-18706  
1995 software guide: Conveying the message faster, more efficiently  
Anonymous  
Direct Marketing v58n3 PP: 38-51 Jul 1995  
ISSN: 0012-3188 JRNL CODE: DIM  
WORD COUNT: 7357

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 File 350:Derwent WPIX 1963-2006/UD,UM &UP=200637  
 (c) 2006 The Thomson Corp.  
 File 344:Chinese Patents Abs Jan 1985-2006/Jan  
 (c) 2006 European Patent Office  
 File 347:JAPIO Dec 1976-2005/Dec(updated 060404)  
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 File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13  
 (c) 2002 The Gale Group  
 File 23:CSA Technology Research Database 1963-2006/Jun  
 (c) 2006 CSA.  
 File 56:Computer and Information Systems Abstracts 1966-2006/Jun  
 (c) 2006 CSA.  
 File 94:JICST-EPlus 1985-2006/Mar w2  
 (c)2006 Japan Science and Tech Corp(JST)

| Set | Items    | Description   |
|-----|----------|---|
| S1  | 156529   | (TRADING OR TRADE OR FINANCIAL OR BANK OR BANKING OR TRADE-R? ? OR TRANSACTION)(3N)(NETWORK? OR SYSTEM? ? OR SERVER? ? OR TERMINAL OR WORKSTATION OR COMPUTER? OR TRANSACTION?) |
| S2  | 37576    | (RATE? ? OR PRICE OR PRICING OR BID)(6N)(QUOTE? OR QUOTATION OR PROPOSAL? ? OR RECOMMEND? OR SERVER? OR SUGGEST?)   |
| S3  | 17716545 | (GENERAT? OR OUTPUT? OR PRODUCE? OR PRODUCING OR CREAT? OR BUILD? OR DEVELOP? OR SYNTHES? OR RETURN?)   |
| S4  | 807307   | INDEX OR MANUAL()RATE()(QUOTE? OR QUOTATION) OR (PRICE OR -RATE OR QUOT?)(3N)(SERVER? OR LIST? OR BOOK OR HISTORY)  |
| S5  | 55854    | (SET OR GROUP OR COLLECTION OR LIST?)(3N)(CONDITIONS OR RULES OR SITUATIONS)  |
| S6  | 6998121  | TIME OR LIMIT   |
| S7  | 697820   | BROKER? ? OR TRADER? ? OR SALES OR SALES()PERSON OR SALESM-?N OR SALESWOM?N   |
| S8  | 838      | S1 AND S2   |
| S9  | 1801     | S2(6N)S3  |
| S10 | 75       | S1 AND S9   |
| S11 | 185      | S8 AND S4   |
| S12 | 75       | S11 AND (S6:S7)   |
| S13 | 245      | S10 OR S11 OR S12   |
| S14 | 190      | S13 FROM 350,344,347,371  |
| S15 | 55       | S13 NOT S14   |
| S16 | 25       | S15 NOT PY>1995   |
| S17 | 24       | RD (unique items)   |
| S18 | 25       | S14 AND AD=19951201:9999999   |
| S19 | 49       | S17 OR S18  |

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19/3,k/1 (Item 1 from file: 350)  
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File 88:Gale Group Business A.R.T.S. 1976-2006/Jun 08  
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File 180:Federal Register 1985-2006/Jun 16  
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File 624:McGraw-Hill Publications 1985-2006/Jun 16  
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File 626:Bond Buyer Full Text 1981-2006/Jun 16  
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File 635:Business Dateline(R) 1985-2006/Jun 16  
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File 649:Gale Group Newswire ASAP(TM) 2006/Jun 07  
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File 654:US Pat.Full. 1976-2006/Jun 15  
(c) Format only 2006 Dialog  
File 706:(New Orleans)Times Picayune 1989-2006/Jun 16  
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File 709:Richmond Times-Disp. 1989-2006/Jun 13  
(c) 2006 Richmond Newspapers Inc  
File 716:Daily News Of L.A. 1989-2006/Jun 15  
(c) 2006 Daily News of Los Angeles  
File 719:(Albany) The Times Union Mar 1986-2006/Jun 15  
(c) 2006 Times Union  
File 723:The Wichita Eagle 1990-2006/Jun 15  
(c) 2006 The Wichita Eagle  
File 724:(Minneapolis)Star Tribune 1989-1996/Feb 04  
(c) 1996 Star Tribune  
File 732:San Francisco Exam. 1990- 2000/Nov 21  
(c) 2000 San Francisco Examiner  
File 739:The Fresno Bee 1990-2006/Jun 15  
(c) 2006 The Fresno Bee  
File 813:PR Newswire 1987-1999/Apr 30  
(c) 1999 PR Newswire Association Inc  
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(c) 2006 Dialog